


Drive Efficiency with AE
CRM and the Schwab
OpenView Gateway

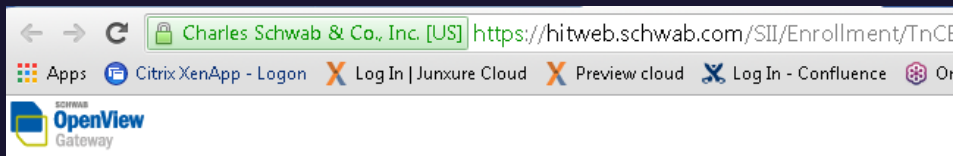
What we will cover:

- Using the integration
- Getting things started
- Account Data in AE CRM
- Automatic Alert Integration

Enabling the Integration:

NAME & DETAILS	CONTACT INFORMATION	USER PREFERENCES	PASSWORD
CATEGORY	NAME	SETTING	 EDIT
Alerts	Alert Popup Reminder	<input checked="" type="checkbox"/>	
Document Management	Document Management Setup		SETUP
Email Configuration	Email Login		SETUP
	Calendar Setup		SETUP
	Email Signature	<input checked="" type="checkbox"/>	SETUP
Integrations	Docupace	<input type="checkbox"/>	SETUP
	Ervestnet	<input type="checkbox"/>	SETUP
	Orlon User Setup		SETUP
	Schwab Advisor Center®	<input checked="" type="checkbox"/>	
	SERSSO	<input type="checkbox"/>	
	TD Ameritrade	<input checked="" type="checkbox"/>	
	Laser App	<input type="checkbox"/>	SETUP
	Citrix ShareFile	<input checked="" type="checkbox"/>	SETUP
	Riskalyze		Enable Riskalyze Integration
	Constant Contact Integration	<input checked="" type="checkbox"/>	
Calendly			SETUP
	Schwab OpenView Gateway™	Un-Enroll	
Prospect Defaults	Promote to Record Default Values		SETUP
Record Details	Record Details Landing Page	Last Viewed	▼

Enabling the Integration:



Enrollment

Schwab OpenView Gateway Advisor Enrollment Use Agreement

Performance Technologies, Inc. d/b/a Schwab Intelligent Technologies ("SIT") makes the Schwab OpenView™ Gateway ("Gateway") available to enable the relationship management (CRM), trading and rebalancing, access and/or transmit certain data to and from you in your use of the Product. SIT will do this only if you, on behalf of yourself and the firm you represent (you and that firm individually and together, "you") accept all of the terms and conditions contained in this Use Agreement carefully before accepting these terms below to the terms and conditions of the Agreement. If you do not accept these terms, you are not authorized to use the Gateway, in which case you should click the button below to discontinue the enrollment process.

You agree that your use of the Gateway will involve the exchange of personal financial information about your clients, (the exchange of information between Provider and the Product, SIT and its affiliates, including but not limited to, the Product, and, as applicable, other participants in the Gateway.)

Accepted on 2015-03-20 14:59:14

Enrollment

Enter your Schwab Advisor Center® credentials in the space below to subscribe to your Schwab Advisor Center® data in Schwab OpenView Gateway™ for Junxure®. For users with authentication cards, please enter your Schwab Advisor Center password followed by the pin number provided by your authentication card.

User ID
or Schwab Advisor Center®
jhaas@schwab.net

Password
for Schwab Advisor Center®

Please take a few minutes to read and agree to the Use Agreement below before accepting.

Schwab OpenView Gateway Advisor Enrollment Use Agreement

Use Agreement

Performance Technologies, Inc. d/b/a Schwab Intelligent Technologies ("SIT") makes the Schwab OpenView™ Gateway ("Gateway") available to enable the provider ("Provider") of the customer relationship management (CRM), trading and rebalancing, or other system you use (the "Product") to access and/or transmit certain data to and from you in your use of the Product. SIT will do this only if you, on behalf of yourself and the firm you represent (you and that firm individually and together, "you") accept all of the terms and conditions contained in this Use Agreement ("Agreement"). Please read

I have read, understand and agree to the Use Agreement above [Print Use Agreement](#)

Available Imports:

- Advent Axys
- Albridge
- Black Diamond
- Envestnet
- LPL Financial
- Morningstar
- Orion
- Portfolio Center (Local or Hosted)
- Tamarac

What to know before you go:

- **Workflow**
 - What is it?
 - Can be downloaded during the setup process
- **Create an AE Record for Schwab**
 - Catches all Unassigned Alerts
- **Create a special user in Schwab Advisor Center**
 - Used to enable the alerts integration
 - Must have full access to all Alerts and Accounts

Download Workflows:

Workflow Templates (Multi-step)

SAC-Minor Reaching Age of Termination
SAC-RMD Due

Workflow Templates (Single-step)

SAC-Account Change
SAC-Advisor Network Referral SAC-
Cost Basis Info Need SAC-Margin
SAC-Mgmt Fee Reject
SAC-Money Movement Potential Failure SAC-
Money Movement Client Initiated SAC-
Notice of Closed Account
SAC-Notice of Assets Transfer Out SAC-
NSF Check - Schwab One SAC-Trade
Client Initiated Schwab Default
Template

Action Types

Schwab Alert

Action Categories

Billing
Business Development Client Services
Money Movement
Portfolio/Trading

Action Tags

Account Closed Cost Basis AE
Management Fees Margin
Referral
Required Minimum Distribution Trade- Client
Requested

Alerts:

[X CANCEL](#) [✓ SAVE](#)

Schwab OpenView Gateway™ SAC Alert – AdvisorEngine CRM workflow integration setup

What Record do you want Alerts to be associated with if AdvisorEngine CRM cannot find a Record match automatically?

[Click to Search](#)

What SAC user will be used to pull the alerts? This SAC user requires adequate permissions to access all alerts in SAC for all sub-accounts in firm.

Alerts User is **Not Enrolled**. [Click to Enroll](#)

What AdvisorEngine CRM user should get the Alert if AdvisorEngine CRM cannot find a Record match automatically?

What AdvisorEngine CRM user should get the default Workflow Assignment if AdvisorEngine CRM cannot find an Alert - Workflow match?






The AdvisorEngine CRM Training and Consulting team has designed workflow for SAC alerts. You can add these workflows to AdvisorEngine CRM by clicking here. You cannot undo the addition of the workflows, but you can easily customize or delete them in Workflow Management.

[Click to Add SAC Alert Workflows](#)

Schwab OpenView Gateway™ SAC Alert - AdvisorEngine CRM workflow matching


Alert Description	Alert Type	Ignore All None	Workflow match
Account Converted	Account Change	<input type="checkbox"/>	<input type="text"/>
Address/Email	Account Change	<input checked="" type="checkbox"/>	<input type="text"/>
Address/Registration/Phone Number Change	Account Change	<input checked="" type="checkbox"/>	<input type="text"/>
Beneficiary Change	Account Change	<input checked="" type="checkbox"/>	<input type="text"/>
Client Account Linked	Account Change	<input checked="" type="checkbox"/>	<input type="text"/>
Custodial Account - Minor Reaching Age of Termination	Account Change	<input checked="" type="checkbox"/>	<input type="text"/>

Digital Account Opening:

Andrews - George and...	Bub	Sally
<p> PERSON</p> <p>SERVICE LEVEL: Platinum</p> <p>ADVISOR 1: Billy Rueckert</p> <p>ADVISOR 2: John Haas</p> <p>CSR: Tricia Cameron</p> <p>OTHER ADDRESS: 47865 Bay View Terrace, Garner, NC 96846, USA</p> <p><input type="checkbox"/> No Mail</p> <p>PHONE: (555) 555-0123</p> <p>EMAIL: georgeandsally@email.net</p> <p>CLASSIFICATIONS: CLIENT</p> <p>TAGS: HOLIDAY C..., HOLIDAY ..., JUNXURE..., +8</p> <p>INSURANCE SPECIALIST: Tricia Cameron</p> <p>AUM: \$12,764,954.66</p> <p>LAST MEETING: 10/16/2020</p> <p>CLIENT ANNIVERSARY: 09/01/1995</p>	<p></p> <p>MR. GEORGE ANDREWS</p> <p>DATE OF BIRTH: 10/04/1941</p> <p>AGE: 80.7</p> <p>SSN: ***-**-****</p> <p>OTHER ADDRESS: 47865 Bay View Terrace, Garner, NC 96846, USA</p> <p>PHONE: (555) 555-0124</p> <p>HOME EMAIL: george@email.net</p> <p>COMMUNICATION PREFERENCE: Phone</p> <p>INTEGRATIONS:  OPEN ACCOUNT</p>	<p></p> <p>MRS. SALLY ANDREWS</p> <p>DATE OF BIRTH: 05/25/1938</p> <p>AGE: 84.1</p> <p>SSN: ***-**-****</p> <p>OTHER ADDRESS: 47865 Bay View Terrace, Garner, NC 96846, USA</p> <p>PHONE: (555) 555-0125</p> <p>HOME EMAIL: sally@email.net</p> <p>COMMUNICATION PREFERENCE: Phone</p> <p>INTEGRATIONS:  OPEN ACCOUNT</p>

Thank You for
watching

Questions can be addressed to
crmtraining@advisorengine.com

 AdvisorEngine